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(54) Title: GENETIC ENGINEERING OF DROUGHT TOLERANCE VIA A PLASTID GENOME

(57) Abstract: This invention provides a method of conferring osmoprotection to plants. Plant plastid genomes, particularly the chloroplast genome, is transformed to express an osmoprotectant. The transgenic plants and their progeny display drought resistance. More importantly, such transgenic plants display no negative pleiotropic effects such as sterility or stunted growth.

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**GENETIC ENGINEERING OF DROUGHT TOLERANCE
VIA A PLASTID GENOME**

CROSS-REFERENCES TO RELATED APPLICATIONS

This patent application claims the benefit of U.S. Provisional Application No. 60/185,658,
filed 2/29/2000. This earlier provisional application is hereby incorporated by reference.

STATEMENT REGARDING FEDERALLY SPONSORED RESEARCH

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FIELD OF INVENTION

This application pertains to the field of genetic engineering of plant plastid genomes, particularly chloroplasts and to methods of transforming plants to confer or increase drought tolerance and engineered plants which are drought tolerant.

DESCRIPTION OF RELATED ART

Patents of Interest

Londesborough et. al., in U.S. patent no. 5,792,921 (1998), entitled "Increasing the trehalose content of organisms by transforming them with combinations of the structural genes for trehalose synthase," and U.S. patent no. 6,130,368 (2000), entitled "Transgenic plants producing trehalose", proposed a method for increasing trehalose content in various organisms through nuclear transformation.

Hoekema, in U.S. patent no. 5,925,804 (1999), entitled "Production of Trehalose in Plants," proposes a method of engineering plants to produce trehalose. This patent suggests the transformation of plants by introducing to the plant nuclear genome any trehalose phosphate synthase gene driven by an appropriate promoter.

Strom, et al., in U.S. patent no. 6,133,038 entitled "Methods and compositions related to the production of trehalose" (2000), described the genes involved in the biosynthesis of trehalose, trehalose synthase and trehalose-6-phosphate. Methods for producing trehalose biosynthetic enzymes in a host cell through transformation of the cell's nucleus are also proposed. In addition, the patent also suggests nuclear transgenic host cells which contain recombinant DNA constructs encoding for a trehalose synthase, trehalose phosphatase or both trehalose synthase and, trehalose phosphatase.

BACKGROUND OF THE INVENTION

Effects of increased trehalose accumulation

Water stress due to drought, salinity or freezing is a major limiting factor in plant growth and development. Trehalose is a non-reducing disaccharide of glucose and its synthesis is mediated by the trehalose-6-phosphate (T6P) synthase and trehalose-6-phosphate phosphatase complex in *Saccharomyces cerevisiae*. In *S. cerevisiae*, this complex consists of at least three subunits performing either T6P synthase (TPS1), T6P phosphatase (TPS2) or regulatory activities (TPS3 or TSLD). Trehalose is found in diverse organisms including algae, bacteria, insects, yeast, fungi, animal and plants. Because of its accumulation under various stress conditions such as freezing, heat, salt or drought, there is general consensus that trehalose protects against damages imposed by these stresses. Trehalose is also known to accumulate in anhydrobiotic organisms that survive complete dehydration, the resurrection plant and some desiccation tolerant angiosperms. Trehalose, even when present in low concentrations, stabilizes proteins and membrane structures under stress because of the glass transition temperature, greater flexibility and chemical stability / inertness.

Prior efforts to engineer plants for trehalose production

There have been several efforts to generate various stress resistant transgenic plants by introducing gene(s) responsible for trehalose biosynthesis, regulation or degradation. When trehalose accumulation was increased in transgenic tobacco plants by over-expression of the yeast TPS1, trehalose accumulation resulted in the loss of apical dominance, stunted growth, lancet-shaped leaves and some sterility. Altered phenotype was always correlated with drought tolerance, plants showing severe morphological alterations had the highest tolerance under stress conditions.

Advantages of transforming plants through the chloroplast

In order to minimize the pleiotropic effects observed in the nuclear transgenic plants accumulating trehalose, this invention compartmentalizes trehalose accumulation within chloroplasts. Several toxic compounds expressed in transgenic plants have been compartmentalized in chloroplasts, even through no targeting sequence was provided indicating that this organelle could be used as a repository like the vacuole. Also, osmoprotectants are known to accumulate inside chloroplasts under stress conditions. Inhibition of trehalase activity is known to enhance trehalose accumulation in plants. Therefore, trehalose accumulation in chloroplast may be protected from trehalase activity in the cytosol, if trehalase was absent in the chloroplast.

1 In addition, chloroplast transformation has several other advantages over nuclear transformation. A common environmental concern about nuclear transgenic plants is the escape of foreign genes through pollen or seed dispersal, thereby creating super weeds or causing genetic pollution among other crops. The latter has resulted in several lawsuits and shrunk the European market for organic produce from Canada from 83 tons in 1994-1995 to 20 tons in 1997-1998. These are serious environmental concerns, especially when plants are genetically engineered for drought tolerance, because of the possibility of creating robust drought tolerant weeds and passing on undesired pleiotropic traits to related crops. Chloroplast transformation should also overcome some of the disadvantages of nuclear transformation that result in lower levels of foreign gene expression, such as gene suppression by positional effect or gene silencing.

11 Chloroplast genetic engineering has been successfully employed to address aforementioned concerns. For example, chloroplast transgenic plants expressed very high level of insect resistance, due to expression of 10,000 copies of foreign genes per cell, thereby overcoming the problem of insect resistance observed in nuclear transgenic plants. Similarly, chloroplast derived herbicide resistance overcomes out-cross problems of nuclear transgenic plants because of maternal inheritance of plastid genomes. This invention thus presents a solution to the pitfalls of nuclear expression of TPS1 in transgenic plants.

Non-obvious nature of the invention.

21 Trehalose is a non-reducing disaccharide of glucose and is found in diverse organisms including algae, bacteria, insects, yeast, fungi, animal and plants. Because of its accumulation under various stress conditions such as freezing, heat, salt or drought, there is general consensus that trehalose protects against damages imposed by these stresses. Trehalose is also known to accumulate in anhydrobiotic organisms that survive complete dehydration, the resurrection plant and some desiccation tolerant angiosperms.

26 There have been several efforts to generate various stress resistant transgenic plants by introducing gene(s) responsible for trehalose biosynthesis, regulation or degradation. When trehalose accumulation was increased in nuclear transgenic tobacco plants by over-expression of the yeast *TPS1*, trehalose accumulation resulted in the loss of apical dominance, stunted growth, lancet shaped leaves and some sterility. Altered phenotype was always correlated with drought tolerance; plants showing severe morphological alterations had the highest tolerance under stress conditions. Prior to this invention, it was not obvious that accumulation of trehalose within plastids would minimize

1 the pleiotropic effects observed in the nuclear transgenic plants accumulating trehalose or damage plastids. There were no prior reports of trehalose accumulation within plastids or localization of enzymes of trehalose biosynthetic pathway within plastids.

Osmoprotectants are known to accumulate inside chloroplasts under stress conditions but their mode of action is to provide osmotic protection by accumulation of such compounds (as sugars or amino
6 acids) in large quantities. This invention demonstrates that the protection is offered by accumulation of small quantities of trehalose which was not adequate to provide protection from dehydration but rather stability of biological membranes. Inhibition of trehalase activity is known to enhance trehalose accumulation in the cytosol but there are no reports of the presence or absence of trehalase within plastids. Therefore, it was unanticipated that trehalose accumulation within plastids would
11 be protected from trehalase activity. Prior to this invention, there were no reports of using plastid transformation as a strategy to confer drought tolerance to transgenic plants.

BRIEF SUMMARY OF THE INVENTION

This invention provides a method to transform plants through the plastids, particularly
16 chloroplasts, to confer drought tolerance to plants. The vectors with which to accomplish the chloroplast transformation is provided. The transformed plants and their progeny are provided. The transformed plants and their progeny display drought resistance. More importantly, they display no negative pleiotropic effects such as sterility or stunted growth.

The present invention is applicable to all plastids of plants. These include chromoplasts
21 which are present in the fruits, vegetables and flowers; amyloplasts which are present in tubers like the potato; proplastids in roots; leucoplasts and etioplasts, both of which are present in non-green parts of plants.

The present invention provides a method to increase water stress tolerance in dicotyledonous or a monocotyledonous plant, comprising introducing an expression cassette into the cells of a plant
26 to yield transformed plant cells. Plant cells include cells of monocotyledonous plants such as cereals, including corn (*Zea mays*), wheat, oats, rice, barley, millet and cells of dicotyledonous plant such as soybeans and vegetables like peas. The expression cassette comprises a preselected DNA sequence encoding an enzyme which catalyzes the synthesis of an osmoprotectant, operably linked to a promoter functional in the chloroplast plant cell. The enzyme encoded by the DNA sequence
31 is expressed in the transformed plant cells to increase the level of osmoprotection so as to render the

1 transformed cells substantially tolerant or resistant to a reduction in water availability that inhibits the growth of untransformed cells of the plant.

As used herein, an "osmoprotectant" is an osmotically active molecule which, when that molecule is present in an effective amount in a cell or plant, confers water stress tolerance or resistance, or salt stress tolerance or resistance, to the cell or plant; when present in lower amounts
6 in a cell or plant, an "osmoprotectant" confers membrane stability. Those skilled in the art will appreciate that an osmoprotectant confers resistance to water or salt stress when present in the cell in high amounts, and confers membrane stability in lower amounts. Osmoprotectants include sugars such as monosaccharides, disaccharides, oligosaccharides, polysaccharides, sugar alcohols, and sugar derivatives, as well as proline and glycine-betaine. A preferred embodiment of the invention is an
11 osmoprotectant that is a sugar. Useful osmoprotectants include fructose, erythritol, sorbitol, dulcitol, glucoglycerol, sucrose, stachyose, raffinose, ononitol, mannitol, inositol, methyl-inositol, galactol, heptitol, ribitol, xylitol, arabitol, trehalose, and pinitol.

Genes which encode an enzyme that catalyzes the synthesis of an osmoprotectant include genes encoding mannitol dehydrogenase (Lee and Saier, J. Bacteriol., 153 (1982)) and trehalose-6-
16 phosphate synthase (Kaasen et al., J. Bacteriol., 174, 889 (1992)). Through the subsequent action of native phosphatases in the cell or by the introduction and coexpression of a specific phosphatase into the nucleus, these introduced genes result in the accumulation of either mannitol or trehalose in the nucleus, respectively, both of which have been well documented as protective compounds able to mitigate the effects of stress. Mannitol accumulation in the nucleus of transgenic tobacco has
21 been verified and preliminary results indicate that plants expressing high levels of this metabolite are able to tolerate an applied osmotic stress (Tarczynski et al., cited supra (1992), (1993)).

Also provided is an isolated transformed plant cell and an isolated transformed plant comprising said transformed cells, which cell and plant are substantially tolerant of or resistant to a reduction in water availability. The cells of the transformed monocot plant comprise a
26 recombinant DNA sequence comprising a preselected DNA sequence encoding an enzyme which catalyzes the synthesis of an osmoprotectant. The preselected DNA sequence is present in the cells of the transformed plant and the enzyme encoded by the preselected DNA sequence is expressed in those cells to yield an amount of osmoprotectant effective to confer tolerance or resistance to those cells to a reduction in water availability that inhibits the growth of the corresponding untransformed
31 plant cells. A preferred embodiment of the invention includes a transformed plant that has an

1 improved osmotic potential when the total water potential of the transformed plant approaches zero relative to the osmotic potential of a corresponding untransformed plant.

As used herein, a "preselected" DNA sequence is an exogenous or recombinant DNA sequence that encodes an enzyme which catalyzes the synthesis of an osmoprotectant, such as sugar. The enzyme preferably utilizes a substrate that is abundant in the plant cell. It is also preferred that
6 the preselected DNA sequence encode an enzyme that is active without a co-factor, or with a readily available co-factor. For example, the *mil* gene of *E. Coli* encodes a mannitol-1-phosphate dehydrogenase (M1PD). The only co-factor necessary for the enzymatic activity of M1PD in plants is NADH and the substrate for M1PD in plants is fructose-6-phosphate. Both NADH and fructose-6-phosphate are plentiful in higher plant cells.

11 As used herein, "substantially increased" or "elevated" levels of an osmoprotectant in a transformed plant cell, plant tissue, plant part, or plant, are greater than the levels in an untransformed plant cell, plant part, plant tissue, or plant, i.e., one where the chloroplast genome has not been altered by the presence of a preselected DNA sequence. In the alternative, "substantially increased" or "elevated" levels of an osmoprotectant in a water-stressed transformed plant cell, plant
16 tissue, plant part, or plant, are levels that are at least about 1.1 to 50 times, preferably at least about 2 to 30 times, and more preferably about 5-20 times, greater than the levels in a non-water-stressed transformed plant cell, plant tissue, plant part of plant.

As used herein, a plant cell, plant part, plant tissue or plant that is "substantially resistant or tolerant" to a reduction in water availability is a plant cell, plant part, plant tissue, or plant that grows
21 under water-stress conditions, e.g., high salt, low temperatures, or decreased water availability, that normally inhibit the growth of the untransformed plant cell, plant tissue, plant part, or plant, as determined by methodologies known to the art. Methodologies to determine plant growth or response to stress include, but are not limited to, height measurements, weight measurements, leaf area, plant water relations, ability to flower, ability to generate progeny, and yield. For example, a
26 stably transformed plant of the invention has a superior osmotic potential during a water deficit relative to the corresponding.

As used herein, an "exogenous" gene or "recombinant" DNA is a DNA sequence that has been isolated from a cell, purified, and amplified.

As used herein, the term "isolated" means either physically isolated from the cell or
31 synthesized in vitro in the basis of the sequence of an isolated DNA segment.

1 As used herein, a "native" gene means a DNA sequence or segment that has not been manipulated in vitro, i.e., has not been isolated, purified, and amplified.

The invention also provides, preferably, a plastid vector that is capable of stably transforming and conferring drought resistance to tolerance to different plant species.

6 The invention provides a plastid vector comprising of a DNA construct. The DNA construct includes a 5' part of the plastid DNA sequence inclusive of a spacer sequence; a promoter that is operative in the plastid; heterologous DNA sequences comprising at least one gene of interest encoding a molecule; a gene that confers resistance to a selectable marker; a transcription termination region functional in the target plant cells; and a 3' part of the plastid DNA sequence inclusive of a spacer sequence. The molecule can be a peptide of interest. Preferably, the vector
11 includes a ribosome binding site (rbs) and a 5' untranslated region (5'UTR). A promoter functional in green or non-green plastids is used in conjunction with the 5'UTR.

Further, the invention provides a heterologous DNA sequence, which codes for an osmoprotectant, such as the Yeast T6P synthase gene (TSP1 gene), the E. coli otsA gene. The invention also provides the psbA 3' region, which enhances the translation of foreign genes.

16 The invention provides a promoter is one that is operative in green and non-green plastids such as the 16SrRNA promoter, the psbA promoter, and the accD promoter.

The invention provides a gene that confers resistance, such as antibiotic resistance like the aadA gene or an antibiotic-free selectable marker such as BADH or the chlB gene, as a selectable marker.

21 All known methods of transformation can be used to introduce the vectors of this invention into target plant plastids including bombardment, PEG Treatment, Agrobacterium, microinjection, etc.

26 The invention provides transformed crops, like solanaceous plants that are either monocotyledonous or dicotyledonous. Preferably, the plants are those having economic value which are edible for mammals, including humans.

Any plant can be transformed to an osmoprotectant-expressing plant in accordance of the invention which can carry a helogerous DNA sequence which encodes a desired trait. The transformed osmoprotectant-expressing plant need not comprise such a trait other than the DNA sequence which encodes the osmoprotentant.

31 The invention provides plants that have been transformed via the chloroplast which

1 accumulate trehalose at an amount at least 17-fold higher than non-transformed plants which are drought resistant.

The invention provides plants that have been transformed via the chloroplast which has at least a seven-fold increase in TPS1 activity.

6 The invention provides plants that have been transformed via the chloroplast which, in the T_0 generation, display otherwise normal phenotype other than decreased growth and delayed flowering. The invention further provides that the T_1/T_2 generations of the transformed plants display no pleiotropic effects.

The invention provides the transformed chloroplasts of the target plants which contain high levels of trehalose.

11 The invention provides for chloroplast transformant seedlings which are drought resistant which are resistant to medium containing 3% to 6% PEG.

The invention provides a method to confer drought resistance to plants via chloroplast transformation with a universal chloroplast vector which contains a drought-resistant or osmoprotectant gene and the accumulation of high levels of trehalose in the chloroplast.

16 The invention provides a method to transform a target plant for expression of the TPS1 gene leading to accumulations of trehalose in the chloroplast of the plant cells and eliminating adverse pleiotropic effects.

The invention provides proof of integration of the heterologous DNA sequence into the chloroplast genome by PCR.

21 The invention provides an environmental friendly method of engineering drought resistance to plants through chloroplast transformation.

26 Yeast *trehalose phosphate synthase (TPS1)* gene was introduced into the tobacco chloroplast or nuclear genomes to study resultant phenotypes. PCR and Southern blots confirmed stable integration of *TPS1* into the chloroplast genomes of T_1 , T_2 and T_3 transgenic plants. Northern blot analysis of transgenic plants showed that the chloroplast transformant expressed 16,966-fold more *TPS1* transcript than the best surviving nuclear transgenic plant. Although both the chloroplast and nuclear transgenic plants showed significant TPS1 enzyme activity, no significant trehalose accumulation was observed in T_0/T_1 nuclear transgenic plants whereas chloroplast transgenic plants showed 15-25 fold higher accumulation of trehalose than the best surviving nuclear transgenic plants.

31 Nuclear transgenic plants (T_0) that showed significant amounts of trehalose accumulation showed

1 stunted phenotype, sterility and other pleiotropic effects whereas chloroplast transgenic plants (T_1 , T_2 , T_3) showed normal growth and no pleiotropic effects. Chloroplast transgenic plants also showed a high degree of drought tolerance as evidenced by growth in 6% polyethylene glycol whereas untransformed plants were bleached. After 7hr drying, chloroplast transgenic seedlings (T_1 , T_3) successfully rehydrated while control plants died. There was no difference between control and
 6 transgenic plants in water loss during dehydration but dehydrated leaves from transgenic plants (not watered for 24 days) recovered upon rehydration while control leaves died. In order to prevent escape of drought tolerance trait to weeds and associated pleiotropic traits to related crops, it is desirable to genetically engineer crop plants for drought tolerance via the chloroplast genome instead of the nuclear genome.

11 BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1. PCR analysis of control and chloroplast transformants. **A.** Map of pCt-TPS1, chloroplast transformation vector and primer landing sites. P denotes plus strand and M denotes minus strand. Please note that tRNA genes contain introns. **B.** 1% agarose gel containing PCR products using total plant DNA as template. M: 1 kb ladder; 1. *N. Nicotiana tabacum* Burley, untransformed control; Lanes 1, 3, 5: pCt basic vector transformants. 2, 4, 6: pCt-TPS1 transformants. **C.** Map of the nuclear expression vector pHGTPS1.

Figure 2. Southern blot analysis of control, T_1 and T_3 chloroplast transgenic plants. **A.** Site of integration of foreign genes into the chloroplast genome and expected fragment sizes in Southern blots. P1 is the 0.81kb BamHI-BglII fragment containing chloroplast DNA flanking sequences used for homologous recombination. P2 is the 1.5kb XbaI Fragment containing the TPS1 coding sequence. **B.** Southern blot of DNA digested with BglII and hybridized with probes P1 or P2. Lanes: C, untransformed control; 1, T_1 generation chloroplast transformant; 2, T_3 generation chloroplast transformant.

Figure 3. Northern and western blot analyses of control, nuclear and chloroplast transgenic plants. **A, D** Western blots detected through chemiluminescence (100µg total protein per lane). **B, E** Northern blots detected using ^{32}P TPS1 probe. **C, F** Ethidium bromide stained RNA gel before blotting (10µg total RNA loaded per lane). Panel **A, B, C:** T_0 nuclear and T_1 chloroplast transgenic plants. Lanes: 1. *N. t. xanthi* control; 2~5: T_0 nuclear transgenic plants. 2, X-113; 3, X-119; 4, X-121; 5, X-224; 6: *N.t.* Burley control; 7: chloroplast transgenic plant (T_1). Panel **D, E, F:** T_1 nuclear and T_2 chloroplast transgenic plants. Lanes: 1. *N. t xanthi* control; 2, 3: T_1 nuclear

transgenic plants 2, X-113; 3.X-119; 4: *N.t.* Burley control; 5: chloroplast transgenic plant (T_2).
Figure 4. Nuclear and chloroplast transgenic plants to illustrate pleiotropic effects. 1. *N. t* xanthi control; 2~5: T_0 nuclear transgenic plants 2, X-113; 3.X-121; 4. X-119; 5. X-224; 6, T_1 chloroplast transgenic plant; 7, *N. t.* Burley control.

Figure 5. Germination of T_1 , T_2 and T_3 generation of chloroplast transformants and untransformed control on MS plate containing spectinomycin (500 μ g/ml).

Figure 6. Assay for drought tolerance on PEG. Four week old seedlings on MS medium containing 3% (A, B) or 6% (C, D) polyethylene glycol (MW 8,000). A, C: Control untransformed *N.t.* Burley. B, D: T_1 Chloroplast transgenic plants.

Figure 7. Dehydration/rehydration assay. Three week old seedlings from control and chloroplast transgenic lines germinated on agarose in the absence or presence of spectinomycin (500 μ g/ml) were air-dried at room temperature in 50% relative humidity. After 7 hrs drying, seedlings were rehydrated for 48 hrs by placing roots in MS medium. A, untransformed; B,C, T_1 and T_3 chloroplast transgenic lines.

Figure 8. Water loss assay. Detached leaves from mature plants at similar developmental stages were dried at room temperature in 25% relative humidity. Leaf weight during drying was recorded and shown as percentage of initial fresh weight.

Figure 9. Dehydration and rehydration of potted plants. Potted plants were not watered for 24 days and rehydrated for 24 hours. Arrows indicate fully dried leaves that either recovered or did not recover from dehydration. A, C: Control untransformed; B,D: chloroplast transgenic plants.

DETAILED DESCRIPTION OF THE INVENTION

This invention discloses a method of conferring drought tolerance to plants by transforming plants via the chloroplast with a vector that contains a DNA sequence encoding a gene of interest that protects against water stress. In the preferred embodiment of this invention, the vector used is the universal vector as described by Daniell in WO99/10513, which is incorporated herein by reference. Other vectors that are capable of chloroplast transformation such as pUC, pBR322, pBlueScript, pGem and others described in U.S. patent numbers 5,693,507 and 5,932,479 may be used. In the preferred embodiment of this invention, the osmoprotection is the yeast trehalose-6-phosphate synthase (TSP1). Other genes which are capable of conferring drought resistance or osmoprotection

1 may also be used.

Expression of yeast TPS1 in *E. coli*:

It is known that the yeast trehalose-6-phosphate synthase gene can be expressed in nuclear transgenic plants. Because chloroplasts are prokaryotic in nature, it is desirable to test expression levels of the eukaryotic yeast TPS1 gene in *E. coli*. Because of the high similarity in the transcription and translation systems between *E. coli* and chloroplasts, expression vectors are routinely tested in *E. coli* before proceeding with chloroplast transformation of higher plants. Therefore, the TPS1 gene from yeast was cloned into the *E. coli* expression vector pQE 30 (see Figure 1A for details of pQE-TPS1) and expressed in a suitable *E. coli* strain M15 (pREP4). SDS-PAGE as shown in Figure 1B shows the presence of TPS1 protein in crude cell extracts, even with Coomassie Blue stain (lane 1), indicating high levels of expression. Western blot analysis using TPS1 -antibody confirms the true identity of the expressed protein as shown in Figure 1B, lane 41. These results confirm that the codon preference of TPS1 is compatible for expression in a prokaryotic compartment. Hyper-expression also facilitated purification as shown in Figure 1, lanes 2,55 and preparation of polyclonal antibody for characterization of transgenic plants.

16 Chloroplast and nuclear expression vectors.

Having confirmed suitability for prokaryotic expression, the yeast TPS1 gene was inserted into the universal chloroplast expression vector pCt-TPS1 as shown in Figure 2B. This vector can be used to transform chloroplast genomes of several plant species because the flanking sequences are highly conserved among higher plants. This vector contains the 16SrRNA promoter (P_{rrn}) driving the *aadA* (aminoglycoside 3'-adenylyl transferase) and TPS1 genes with the *psbA* 3' region (the terminator from a gene coding for photosystem II reaction center component) from the tobacco chloroplast genome. It is known that the 16SrRNA promoter is one of the strong chloroplast promoters and the *psbA* 3' region stabilized transcripts to avoid hyper-expression of TPS-1 and associated Pleiotropic effects. The yeast ribosome binding site (RBS) was used instead of the genome chloroplast RBS (GGAGG). This construct integrates both genes into the spacer region between the chloroplast transfer RNA genes coding for alanine and isoleucine within the inverted repeat (IR) region of the chloroplast genome by homologous recombination. For nuclear expression, the yeast TPS1 gene was inserted into the binary vector pHGTPS1 (Figure 2C), in which the TPS1 gene is driven by the CaMV 35S promoter and the *hph* gene is driven by the nopaline synthase promoter. The expression cassette is flanked by both the left and right T-DNA border sequences.

1 The binary vector pHGTPS1 was mobilized into the *Agrobacterium tumefaciens* strain LBA
4404 by electroporation. Transformed *Agrobacterium* strain was introduced into *Nicotiana tabacum*
var xanthi using the leaf disc transformation method. Ninety two independent TPS1 nuclear
transformants were obtained on hygromycin selection. Seventeen confirmed nuclear transformants
were analyzed by northern blots. Among transformants showing various levels of transcripts, five
6 transformants with strong, moderate, weak, very weak and absence of transcripts were chosen for
further characterization. For chloroplast transformation, green leaves of *N. tabacum* var. Burley
were transformed with the chloroplast integration and expression vector by the biolistic process.
Bombarded leaf segments were selected on spectinomycin/streptomycin selection medium.
Integration of foreign gene into the chloroplast genome was determined by PCR screening of
11 chloroplast transformants, (Figure 2A). Primers were designed to eliminate mutants, nuclear
integration and to determine whether the integration of foreign genes had occurred in the chloroplast
genome at the directed site by homologous recombination. Primers 5P/5M land within the *aadA* gene
and should generate a 0.4 kbp fragment if the *aadA* gene was present in transgenic plants and
eliminates the possibility of mutation that could otherwise confer streptomycin/spectinomycin
16 resistance. Figure 2A shows the presence of 0.4 kbp PCR product in plants transformed with the
universal vector alone (pCt) or the universal vector containing the TPS1 gene (pCt-TPS1), but not
in control untransformed plants, confirming that these are transgenic plants and not mutants. The
strategy to distinguish between nuclear and chloroplast transgenic plants was to land one primer (3P)
on the native chloroplast genome adjacent to the point of integration and the second primer (3M) on
21 the *aadA* gene. This primer set generated 1.6 kbp PCR product in chloroplast transformants obtained
with the universal vector (pCt) and the universal vector containing the TPS1 gene (pCt-TPS1).
Because this product can not be obtained in nuclear transgenic plants, the possibility of nuclear
integration can be eliminated. Another primer set was designed to test integration of the entire gene
cassette. The presence of the expected size PCR products using 5P/5M confirms that the entire gene
26 cassette has been integrated and that there has been no internal deletions or loop outs during
integration via homologous recombination.

Determination of chloroplast integration, homoplasmy and copy number:

Since there are no significant differences in the level of foreign gene expression among
different chloroplast transgenic lines, one line was chosen to generate subsequent generations
31 (T₁T₂T₃). Southern blot analysis was performed using total DNA isolated from transgenic and wild

1 type tobacco leaves. Total DNA was digested with a suitable restriction enzyme. Presence of a BglII
at the 3' end of the flanking 16S rRNA gene and the trnA intron allowed excision of predicted size
fragments in the chloroplast transformants and untransformed plants. To confirm foreign gene
integration and homoplasmy, individual blots were probed with the chloroplast DNA flanking
sequence (probe P1, Figure 2A). In the case of the *TPS1* integrated plastid transformants (T_1T_2), the
6 border sequence hybridized with 6.13 and 1.17 kbp fragments while it hybridized with a native 4.47
kbp fragment in the untransformed plants (Figure 2B). The copy number of the integrated *TPS1* gene
was also determined by establishing homoplasmy in transgenic plants. Tobacco chloroplasts contain
about 10,000 copies of chloroplast genomes per cell. If only a fraction of the genomes were
transformed, the copy number should be less than 10,000. By confirming that the *TPS1* integrated
11 genome is the only one present in transgenic plants, one could establish that the *TPS1* gene copy
number could be as many as 10,000 per cell.

DNA gel blots were also probed with the *TPS1* gene coding sequence (probe P2) to confirm
integration into the chloroplast genomes. In chloroplast transgenic plants (T_1T_3), the *TPS1* gene
coding sequence hybridized with 6.13 and 1.17 kbp fragments which also hybridized with the border
16 sequence in plastid transgenic lines (Figure 2B). This confirms that the tobacco transformants indeed
integrated the intact gene expression cassette into the chloroplast genome and that there has been no
internal deletions or loop out during integration via homologous recombination.

Analysis of transcript level in nuclear and chloroplast transformants:

For comparison of introduced gene expression between chloroplast and nuclear transformants,
21 northern blot analysis of transgenic tobacco at similar developmental stages was performed in T_1 , T_2 ,
and T_3 plants. As shown in Figure 3, quantification of transcription level showed that the chloroplast
transformant (T_2) expressed 16,960-fold (Figure 3E, lane 5) more *TPS1* transcript than that of highly
expressing nuclear (T_1) transformant (Figure 3E, lanes 2, 3). Similar results were obtained when T_1
chloroplast (Figure 3B, lane 7) and T_0 nuclear transgenic plants (Figure 38, lanes 2-5) were
26 compared. This large difference in *TPS1* expression between nuclear and chloroplast transgenic
plants should be due to the presence of thousands of *TPS1* gene copies in each cell of transgenic
tobacco. Figure 3 (C, F) show ethidium bromide stained RNA gels before blotting; this confirms that
equal amount of RNA (10 μ g) was loaded in all lanes. It is remarkable that the 16SrRNA promoter
is driving both genes very efficiently, eliminating the need for inserting additional promoters for the
31 gene of interest.

Western blot analysis of nuclear and chloroplast transformants:

Polyclonal antibodies raised against the TPS1 protein overexpressed and purified from *E. coli* (see experimental protocol) were used for immunoblotting (Figure 3A, D). A 60 kDa TPS1 polypeptide was detected in the T₀ nuclear (Figure 3A, lanes 2,3,5), T₁ nuclear (3D lanes 2,3) and T₁ plastid (Figure 3A, lane 7) and T₂ plastid (Figure 3D, lane .5) transformants. However, no TPS1 was detected in the untransformed control (Figure 3A, lanes 1,6; 3D 1,4)) and transgenic plants which showed no TPS1 transcript (Figure 3A, lane 4). As anticipated, western blots showed only a five or ten fold increase in TPS1 protein in chloroplast over highly expressing nuclear transgenic plants. This is because of the fact that the chloroplast vector pCt-TPS1 was intentionally designed to lower translation by not inserting a chloroplast preferred ribosome binding site (GGAGG), so that transgenic plants are not killed by hyper-expression of TPS1. This level expression was adequate to compare trehalose accumulation in cytosolic and chloroplast compartments and observe resultant phenotypic / physiological changes. T₁ nuclear and T₂ chloroplast transgenic plants had higher levels of TPS1 protein; this may be due to homozygous *TPS1* alleles or homoplasmy.

Quantification of trehalose-6-phosphate and trehalose in transformants:

Trehalose formation is a two step process, involving trehalose-6-phosphate synthase and trehalose 6-phosphate phosphatase. Trehalose-6-phosphate was not detected in all tested chloroplast and nuclear transformers even though the TPS2, trehalose-6-phosphate phosphatase that converts T6P to trehalose, was not introduced (Table 1). Conversion of T6P to trehalose should have been accomplished by endogenous tobacco trehalose phosphatase or by any non-specific endogenous phosphatase. Simultaneous expression of both enzymes in transgenic plants resulted only in marginal increase of trehalose accumulation in previous studies, confirming that it is adequate to express only TPS1. Leaf extracts from both nuclear and chloroplast transgenic plants catalyzed the synthesis of trehalose 6-phosphate from glucose-6-phosphate and UDP-glucose whereas untransformed tobacco had very low activity. T₀ Chloroplast and nuclear transgenic plants showed a 7-10 fold higher TPS1 activity than untransformed control plants. The amount of trehalose present in untransformed control plants and T₀ nuclear transgenic plants were similar whereas chloroplast transgenic plants accumulated a 17-25 fold mm trehalose than the best surviving nuclear transgenic plants (Table 1). T₁ nuclear transgenic plants accumulated less trehalose than control untransformed plants whereas T₁ chloroplast transgenic plants continued to accumulate high levels of trehalose (Table 1). Observation of comparable TPS1 activity in both nuclear and chloroplast transgenic plants but lack

1 of trehalose accumulation in nuclear transgenic planes indicates that trehalose may be degraded in the cytosol by trehalase but not in the chloroplast compartment. This is consistent with previous studies on inhibition of trehalase activity that resulted in trehalose accumulation in the cytosol.

Drought tolerance and pleiotropic effects:

6 Chloroplast and nuclear transformants were examined for drought tolerance and pleiotropic effects. After six weeks of growth in vitro, rooted shoots were transferred to pots and grown in the greenhouse. TPS1 nuclear transformants showed moderate to severe growth retardation, lance-shaped leaves and infertility (Figure 4). The chloroplast transformants (T_0) showed decreased growth rate and delayed flowering but all subsequent generations (T_1 , T_2) showed similar growth rates and fertility as controls. The nuclear transgenic lines of stunted phenotype showed delayed flowering and produced fewer seeds compared to wild type or did not flower. This result is consistent with prior observations which demonstrated that *E. coli* otsA (TPS1) and *S. cerevisiae* TPS1 transgenic plants exhibited stunted plant growth and other pleiotropic effects. The nuclear transgenic line showing severe growth retardation did not flower. T_1 nuclear transgenic plants that survived showed no growth retardation and trehalose accumulation. Therefore, these plants could not be used for appropriate comparison with chloroplast transgenic plants. When the seeds of chloroplast transgenic plant (crossed between transgenic female and untransformed male) and wild type seeds were germinated on MS medium containing spectinomycin, all chloroplast transgenic progeny were spectinomycin resistant while all wild type seedlings were sensitive to spectinomycin (Figure 5).

21 Because TPS1 transgenic lines showed accumulation of trehalose, they were tested for drought tolerance. Seeds of chloroplast and nuclear transgenic plants were germinated on the MS medium containing polyethylene glycol. As shown in Figure 6, chloroplast transformant seedlings showed resistance to medium containing 3% and 6% PEG whereas control and nuclear transgenic seedlings exhibited severe dehydration, necrosis and severe growth retardation, ultimately resulting in death. Three-week-old seedlings were chosen to study drought tolerance by dehydration and subsequent rehydration. When seedlings were dried for 7 hours at room temperature in 50% relative humidity, they were all affected by dehydration. However, when dehydrated seedlings were rehydrated for 48 hours in MS medium, all chloroplast transgenic lines recovered while all control seedlings were bleached (Figure 7). Even the couple of control seedlings that partly survived (because of uneven drying of seedlings on filter papers) eventually died. These results suggest that the loss of water from TPS1 transgenic plants may not be

1 decreased but the ability to recover from drought was dramatically enhanced. This is consistent
with existing understanding that trehalose functions by protecting biological membranes rather
than regulating water potential (Iwahashi et al., 1995).

6 Mature leaves from fully-grown plants were tested for their ability to regulate water loss
under drought conditions. When detached leaves were air dried, control and chloroplast
transgenic plants lost water to the same extent (Figure 8). Control and chloroplast transgenic
potted plants were not watered for 24 days. Again, both showed dehydration to the same extent
(Figure 9A,B). However, upon rehydration, fully dehydrated leaves (indicated by arrows, Figure
9C,D) recovered in chloroplast transgenic plants but not in controls.

11 **This invention is exemplified by the following non-limiting example:**

EXAMPLE ONE

16 **Plant, *A. tumefaciens* and *E. coli* culture:** For transformation experiments, *Nicotiana tabacum* var.
xanthi and Burley were grown in MS medium in the Magenta culture box (Sigma, USA). For
drought tolerance assays of transgenic tobacco plants, the rooted young plants were transferred to pre-
swollen Jiffy-7 peat pellets (Jiffy Products, Norway) inside the greenhouse. Plants used for enzyme
assays were grown and kept in Magenta culture boxes. Seven or 8 leaf stage plants were used for
enzyme assays. Two to three-week old young transgenic tobacco plants were used for stress analyses.
(*Agrobacterium tumefaciens* strain LBA4404 was grown in the YEP medium at 29°C in a shaking
incubator. Other *E. coli* strains were cultured and maintained as described in Sambrook et al.

21 **Plasmid construction and antibody production:** For hyper-expression of the TPS1 in *E. coli* for
antibody production, the yeast TPS1 gene was cloned into plasmid pQE30 (Qiagen) and subsequently
transformed into *E. coli* strain M15 [pREP4]. The resulting *E. coli* transformant was grown at 37°C
to an A_{600} of 0.5-0.8 and induced by 2mM isopropyl- β -D-thiogalactopyranoside (IPTG) for 1-5 hours.
26 The induced cells were harvested and lysed by sonication. SDS-PAGE analysis showed the presence
of TPS1 protein in crude cell extracts, even with Coomassie Blue stain, indicating high levels of
expression. Western blot analysis using TPS1 antibody confirmed the true identity of the expressed
protein (data not shown). The recombinant protein was purified using Ni^{2+} resin, using the
procedures provided by the manufacturer. Affinity column purified recombinant protein was
31 analyzed for purity by SDS-PAGE. Protein concentrations were determined using the Bio-Rad

1 (USA) protein assay kit with BSA as a standard. Polyclonal antibody was generated using the purified TPS1 protein by the Takara Shuzo Co. (Japan).

Vector construction for plant transformation: The yeast 1.537 kbp TPS1 gene was inserted into the XbaI site of pCt vector generating pCt-TPS1 (Figure 2B). For the nuclear transformation, the yeast TPS1 gene was inserted into the pHGTPS1 vector in which the TPS1 gene is driven by the
6 CaMV 35S promoter. The resulting vector confers hygromycin resistance because of the hygromycin phosphotransferase gene driven by the NOS promoter.

Chloroplast and nuclear transformation: For chloroplast transformation, particle bombardment was carried out using a helium driven particle gun, Biolistic PDH1000. Briefly, chloroplast vectors, pCt and pCt-TPS1 were delivered to tobacco leaves (Burley) using 0.6 µm gold microcarriers (Bio-
11 Rad) at 1,100 psi with a target distance of 9 cm. For nuclear transformation, pHGTPS1 was mobilized into the *Acrobacterium tumefaciens* strain LBA4404 by electroporation using Gene Pulsar (Bio-Rad, USA). The resulting *Agrobacterium* strain was used in leaf disc transformation of wild type *N. tabacum* var. *xanthi*.

Chloroplast DNA isolation and PCR: Total DNA was extracted from leaves of wild type and
16 transformed plants using CTAB extraction buffer described. PCR was carried out to confirm spectinomycin resistant chloroplast transformants using Peltier Thermal Cycler PTC-200 (MJ Research, USA). Three primer sets, 2P(5'-GCGCCTGACCCTG AGATGTGGATCAT-3')-2M(5'-TGACTGCCCAACCTGAGAGCGGACA-3'), 3P(AAAACCCGTCCTCAGTTCGGATTGC)-3M(CCGCGTTGTTTCATCA AGCCTTACG) and -5P(CTGTAGAAGTCACCATTTGTTGTGC),
21 5M(GTCCAAGAT AAGCCTGTCTAGCTTC) were used for the PCR. PCR reactions were carried out as described elsewhere (Daniell et al., 1998; Guda et al., 2000).

RNA isolation and Northern Slot analysis: Total RNA was extracted from transgenic tobacco plants using Tri Reagent (MRC, USA) following manufacturer's instruction. For northern blots, RNA samples (10 µg of total RNA per lane) were electrophoresed on a 1.5% agarose-MOPS gel
26 containing formaldehyde. Uniform loading and integrity of RNAs were confirmed by examining the intensity of ethidium bromide bound ribosomal RNA bands under UV light. RNAs on the gel were transferred onto Hybond-N membrane (Amersham, USA). The membrane was hybridized to radiolabeled TPS1 probe and washed at 65°C in a solution of 0.2X SSC and 0.1 % SDS for 20 min twice. The blot was exposed to an X-ray film at -70°C overnight. Transcripts were quantified using
31 the BioID++ program with Vilber Lourmat Image Analyzer (Bioprofil, France).

1 **Western Blot analysis:** Tobacco total protein extracts were prepared by modified methods described
by Ausubel et al. The total extracts were fractionated on a 10% one-dimensional SDS-PAGE,
transferred to Biotrace PDVF nitrocellulose membrane (Gelman Sciences, USA), and immunostained
using Renaissance Western Blot Chemiluminescence Reagent (NEN Life Science Products, USA)
according to manufacturer's instructions. Each lane was loaded with 100 µg of total protein. The
6 primary antibody used was anti-TPS1 at a 5000-fold dilution. The secondary antibody was anti-
rabbit IgG HRP conjugate at a 2000-fold dilution (Promega, USA).

Drought tolerance and biochemical characterization: For analyses of drought tolerance, 2-3 week
old transgenic tobacco plants were used. Seeds of chloroplast and nuclear transformants were
germinated on MS plates containing 3% or 6% PEG (MW 8,000). TPS1 enzyme assay was
11 performed spectrophotometrically by the method described by Londesbrough and Vuorio. For
quantitative determination of T6P and trehalose, carbohydrates were extracted from aerial parts of
transgenic or wild type tobacco plants by treatment in 85% ethanol at 60°C for 1 hour. The amount
of T6P and trehalose were measured by high-performance liquid chromatography (HPLC) on a
Waters system equipped with a Waters High Performance Carbohydrate Column (4.6x250 mm) and
16 a refractive index detector. The insoluble phase system was 75% acetonitrile-25% H₂O with a flow
rate of 1.0 ml/min.

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1 What is claimed is:

1. An integration and expression plastid vector competent for stably transforming the plastid genome of which confer stress tolerance which comprises an expression cassette which comprises as operably joined components, a 5' part of the plastid DNA sequence inclusive of a spacer sequence, a promoter operative in said plastid, a selectable marker sequence, a DNA sequence
6 encoding for an osmoprotectant, at least one restriction site for the insertion of a heterologous target DNA sequence, a transcription termination region functional in said plastid, and the 3' part of the plastid DNA sequence inclusive of a spacer sequence.

2. The vector of claim 1 further comprising a heterologous DNA sequence which codes for a molecule of interest that is inserted in one of the restriction sites.

11 3. The vector of claim 2 where the molecule of interest is a polypeptide.

4. A vector of claim 2 or 3, wherein said vector further comprises a ribosome binding site and a 5' untranslated region (5' UTR) to enhance expression.

5. A vector of claim 2, 3, or 4 wherein the osmoprotectant is selected from a group consisting of sugars, sugar alcohols, sugar derivatives, and amino acids including proline and glycine-
16 betaine.

6. A vector of claim 5 wherein the osmoprotectant is trehalose.

7. A vector of claim 5 wherein the trehalose is at least one of the complex TPS1, TPS2, TPS3 or TSL1.

8. The vector of claim 2, 3 or 4 wherein the osmoprotectant is selected from a group
21 consisting of TSP1, *E. Coli* otsA, stachyose, and ononitol.

9. The vector of claim 5 wherein the osmoprotectant is a sugar.

10. The vector of claim 9, wherein the sugar is a monosaccharide including but not limited to fructose.

11. The vector of claim 9, wherein the sugar is a disaccharide including but not limited
26 to sucrose.

12. The vector of claim 9, wherein the sugar is a trisaccharide including but not limited to raffinose.

13. The vector of claim 9 wherein the sugar is dulcitol.

14. The vector of claim 5 wherein the osmoprotectant is a sugar alcohol.

31 15. The vector of claim 14 wherein the sugar alcohol is a polyhyric alcohol.

1 16. The vector of claim 15 wherein the polyhyric alcohol is a trihydric alcohol including but not limited to glucoglycerol.

 17. The vector of claim 15 wherein the polyhyric alcohol is a tetrahydric alcohol including but not limited to erythritol.

6 18. The vector of claim 15 wherein the polyhyric alcohol is a hexahydric alcohol including but not limited to mannitol or sorbitol.

 19 A vector of claim 2, 3 or 4 wherein at least one DNA encodes a component of trehalose synthase that is under the control of a promoter to produce a transgenic plant.

 20. The vector of claim 19 wherein the promoter is constitutive.

11 21. The vector of claim 19 wherein the promoter is tissue specific, light-induced, or stress-induced.

 22. A stably transformed plant which has been transformed by the vector of any one of claims 2-21, wherein the transformed plant is more tolerant of stresses selected from a group consisting of water-deprivation, freezing, salt, heat and cold than is the untransformed plant.

 23. The plant of claim 22 wherein the plant does not include target DNA.

16 24. A stably transformed plant of claim 22, or the progeny thereof including seeds, wherein said plant display no negative pleiotropic effects.

 25. A transgenic plant of any one of claims 22-25, wherein the plant is a transgenic plant which is morphologically indistinguishable from an untransformed plant.

21 26. A transgenic plant of any one of claims 22-25, wherein the plant is a solanaceous plant edible for a mammal.

 27. A transgenic plant of any one of claims 22-25, wherein the plant is a crop plant edible for a mammal.

 28. A transgenic plant of either claim 26 or 27, wherein the mammal is a human.

26 29. A transgenic plant of any one of claims 22-25, wherein the plant is a monocotyledonous plant selected from the group of rice, wheat, grass, rye, barley, oat, or maize.

 30. A transgenic plant of any one of claims 22-25, wherein the plant is a dicotyledonous plant selected from the group of soybean, peanut, grape, sweet potato, pea, canola, tobacco, tomato or cotton.

31 31. A transgenic plant of any one of claims 22-25, wherein the plant is tobacco, tomato, potato, rice, brassica, cotton, maize or soybean.

1 32. A method of conferring drought resistance to plants, said method comprising
introducing into the plastid of plant species that are susceptible to water stress, an expression cassette
which comprises as operably joined components, a 5' part of the plastid DNA sequence inclusive of
a spacer sequence, a promoter operative in said plastid, a DNA sequence encoding a gene which
confers osmoprotection, a heterologous DNA sequence encoding a molecule of interest, a selectable
6 marker sequence, a transcription termination region functional in said plastid, and a 3' part of the
plastid DNA sequence inclusive of a spacer sequence.

 33. The method of claim 32, wherein said method further comprises culturing said plant
in a plant growth medium containing an effective amount of polyethylene glycol (PEG) for selection,
and selecting transformed plant cells capable of growth in said plant growth medium.

11 34. The method of claim 33, wherein said method further comprises regenerating the
selected transformed plant cells into stable transgenic plants.

 35. A method of increasing trehalose accumulation in plant cells thereby conferring
osmotic stress resistance to said plant cells, where said method comprises introducing to the plastid
of plant species that are susceptible to osmotic stress an expression cassette which comprises as
16 operably joined components, a 5' part of the plastid DNA sequence inclusive of a spacer sequence,
a promoter operative in said plastid, a DNA sequence encoding the Yeast T6P synthase (TSP) gene
which confers drought resistance, a heterologous DNA sequence encoding a molecule of interest, a
selectable marker sequence, a transcription termination region functional in said plastid, and a 3' part
of the plastid DNA sequence inclusive of a spacer sequence.

21 36. The method of claim 35, wherein said method further comprises culturing said plant
in a plant growth medium containing an effective amount of polyethylene glycol (PEG) for selection,
and selecting transformed plant cells capable of growth in said plant growth medium.

 37. The method of claim 36, wherein said method further comprises regenerating the
selected transformed plant cells into stable transgenic plants.

26 38. The vector of any one of claims 1-21, wherein said plastid is a chloroplast.

 39. The vector of claim 38, wherein the vector is a universal chloroplast vector.

 40. The methods of any one of claims 32-37, wherein the plastid is a chloroplast.

AMENDED CLAIMS

[received by the International Bureau on 29 June 2001 (29.06.01);
original claim 2 replaced by new claim 2;
original claims 3-40 renumbered as claims 3-41 (4 pages)]

1. An integration and expression plastid vector competent for stably transforming the plastid genome to confer stress tolerance which comprises an expression cassette which
5 comprises as operably joined components, a 5' part of the plastid DNA sequence inclusive of a spacer sequence, a promoter operative in said plastid, a selectable marker sequence, a DNA sequence encoding an osmoprotectant, at least one restriction site for the insertion of a heterologous target DNA sequence, a transcription termination region functional in said plastid, and the 3' part of the plastid DNA sequence inclusive of a spacer sequence.
- 10 2. An integration and expression plastid vector competent for stably transforming the plastid genome to confer stress tolerance which comprises an expression cassette which comprises as operably joined components, a 5' part of the plastid DNA sequence inclusive of a transcriptionally active spacer sequence that is conserved in the plastid genome of different plant species, a promoter operative in said plastid, a selectable marker sequence, a DNA sequence
15 encoding an osmoprotectant, at least one restriction site for the insertion of a heterologous target DNA sequence, a transcription termination region functional in said plastid, and the 3' part of the plastid DNA sequence inclusive of a transcriptionally active spacer sequence that is conserved in the plastid genome of different plant species.
3. The vector of claim 2 further comprising a heterologous DNA sequence which
20 codes for a molecule of interest that is inserted in one of the restriction sites.
4. The vector of claim 3 where the molecule of interest is a polypeptide.
5. A vector of claim 3, wherein said vector further comprises a ribosome binding site and a 5' untranslated region (5' UTR) to enhance expression.
6. A vector of claim 3 wherein the osmoprotectant is selected from a group
25 consisting of sugars, sugar alcohols, sugar derivatives, and amino acids including proline and glycine-betaine.
7. A vector of claim 6 wherein the osmoprotectant is trehalose.
8. A vector of claim 6 wherein the trehalose is at least one of the complex TPS1, TPS2, TPS3 or TSL1.
- 30 9. The vector of claim 3 wherein the osmoprotectant is selected from a group consisting of TSP1, *E. Coli* otsA, stachyose, and ononitol.
10. The vector of claim 6 wherein the osmoprotectant is a sugar.
11. The vector of claim 10, wherein the sugar is a monosaccharide including but not limited to fructose.

12. The vector of claim 10, wherein the sugar is a disaccharide including but not limited to sucrose.
13. The vector of claim 10, wherein the sugar is a trisaccharide including but not limited to raffinose.
- 5 14. The vector of claim 10 wherein the sugar is dulcitol.
15. The vector of claim 6 wherein the osmoprotectant is a sugar alcohol.
16. The vector of claim 15 wherein the sugar alcohol is a polyhyric alcohol.
17. The vector of claim 16 wherein the polyhyric alcohol is a trihydric alcohol including but not limited to glucoglycerol.
- 10 18. The vector of claim 16 wherein the polyhyric alcohol is a tetrahydric alcohol including but not limited to erythritol.
19. The vector of claim 16 wherein the polyhyric alcohol is a hexahydric alcohol including but not limited to mannitol or sorbitol.
- 20 A vector of claim 3 wherein at least one DNA encodes a component of trehalose synthase that is under the control of a promoter to produce a transgenic plant.
- 15 21. The vector of claim 20 wherein the promoter is constitutive.
22. The vector of claim 20 wherein the promoter is tissue specific, light-induced, or stress-induced.
23. A stably transformed plant which has been transformed by the vector of any one of claims 3, wherein the transformed plant is more tolerant of stresses selected from a group consisting of water-deprivation, freezing, salt, heat and cold than is the untransformed plant.
- 20 24. The plant of claim 23 wherein the plant does not include target DNA.
25. A stably transformed plant of claim 23, or the progeny thereof including seeds, wherein said plant display no negative pleiotropic effects.
- 25 26. A transgenic plant of claim 23, wherein the plant is a transgenic plant which is morphologically indistinguishable from an untransformed plant.
27. A transgenic plant of claim 23, wherein the plant is a solanaceous plant edible for a mammal.
28. A transgenic plant of claim 23, wherein the plant is a crop plant edible for a mammal.
- 30 29. A transgenic plant of either claim 27 or 28, wherein the mammal is a human.
30. A transgenic plant of claim 23, wherein the plant is a monocotyledonous plant selected from the group of rice, wheat, grass, rye, barley, oat, and maize.

31. A transgenic plant of claims 23, wherein the plant is a dicotyledonous plant selected from the group of soybean, peanut, grape, sweet potato, pea, canola, tobacco, tomato and cotton.

32. A transgenic plant of claim 23, wherein the plant is tobacco, tomato, potato, rice,
5 brassica, cotton, maize and soybean.

33. A method of conferring drought resistance to plants, said method comprising introducing into the plastid of plant species that are susceptible to water stress, an expression cassette which comprises as operably joined components, a 5' part of the plastid DNA sequence inclusive of a transcriptionally active spacer sequence that is conserved in the plastid genome of
10 different plant species, a promoter operative in said plastid, a DNA sequence encoding a gene which confers osmoprotection, a heterologous DNA sequence encoding a molecule of interest, a selectable marker sequence, a transcription termination region functional in said plastid, and a 3' part of the plastid DNA sequence inclusive of a transcriptionally active spacer sequence that is conserved in the plastid genome of different plant species.

34. The method of claim 33, wherein said method further comprises culturing said
15 plant in a plant growth medium containing an effective amount of polyethylene glycol (PEG) for selection, and selecting transformed plant cells capable of growth in said plant growth medium.

35. The method of claim 34, wherein said method further comprises regenerating the selected transformed plant cells into stable transgenic plants.

36. A method of increasing trehalose accumulation in plant cells thereby conferring
20 osmotic stress resistance to said plant cells, where said method comprises introducing to the plastid of plant species that are susceptible to osmotic stress an expression cassette which comprises as operably joined components, a 5' part of the plastid DNA sequence inclusive of a transcriptionally active spacer sequence that is conserved in the plastid genome of different plant
25 species, a promoter operative in said plastid, a DNA sequence encoding the Yeast T6P synthase (TSP) gene which confers drought resistance, a heterologous DNA sequence encoding a molecule of interest, a selectable marker sequence, a transcription termination region functional in said plastid, and a 3' part of the plastid DNA sequence inclusive of a transcriptionally active spacer sequence that is conserved in the plastid genome of different plant species.

37. The method of claim 36, wherein said method further comprises culturing said
30 plant in a plant growth medium containing an effective amount of polyethylene glycol (PEG) for selection, and selecting transformed plant cells capable of growth in said plant growth medium.

38. The method of claim 37, wherein said method further comprises regenerating the selected transformed plant cells into stable transgenic plants.

39. The vector of claim 2, wherein said plastid is a chloroplast.
35

40. The vector of claim 39, wherein the vector is a universal chloroplast vector.
41. The methods of claims 32 or 35, wherein the plastid is a chloroplast.
42. The vector of claim 2, wherein the transcriptionally active spacer sequence comprises a portion of the intergenic spacer 2 region between and inclusive of the tRNA^{Ile} and the tRNA^{Ala} genes of a chloroplast genome.
- 5 43. The vector of claim 42, wherein the spacer region is located in an inverted repeat of the chloroplast genome.

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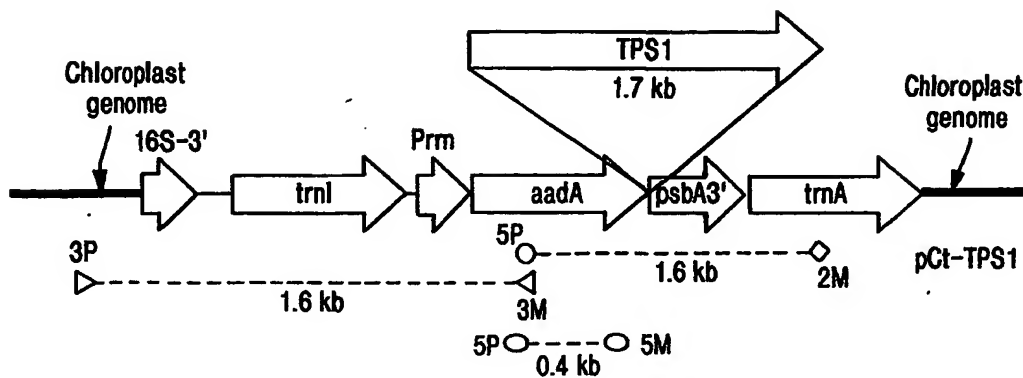


FIG. 1A

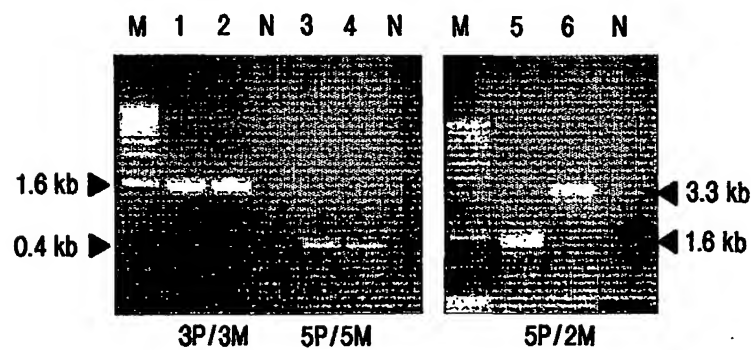


FIG. 1B

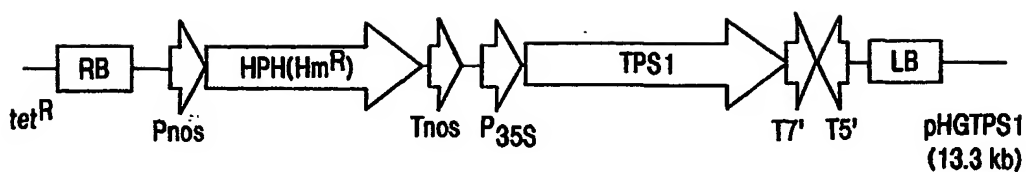


FIG. 1C

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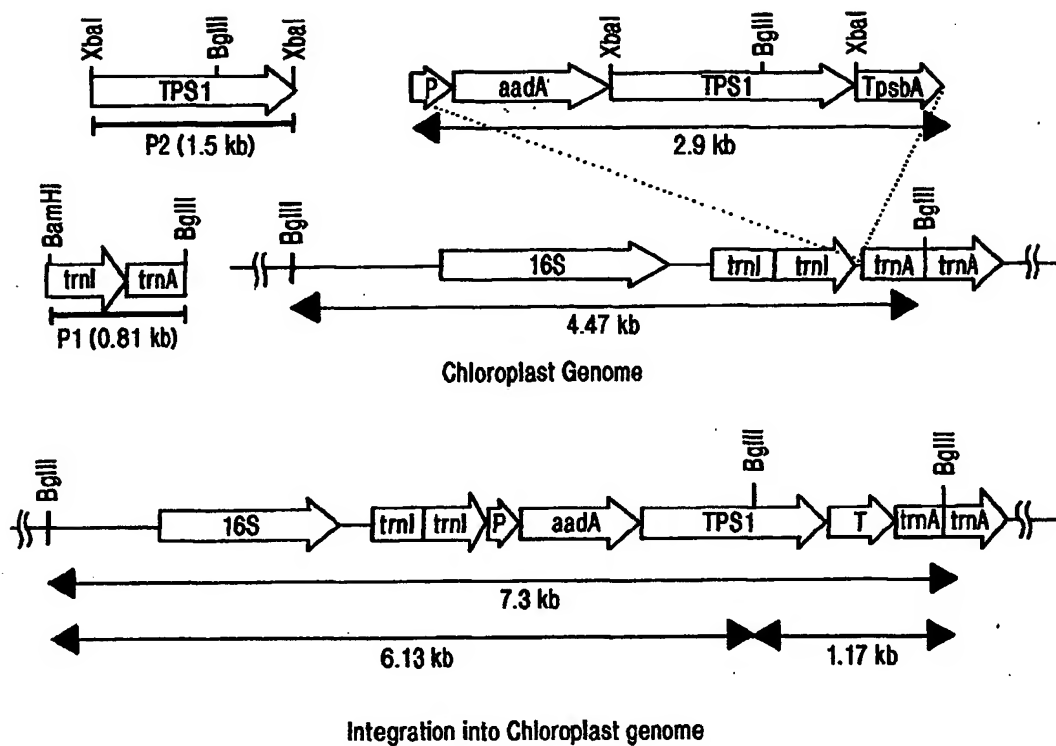


FIG. 2A

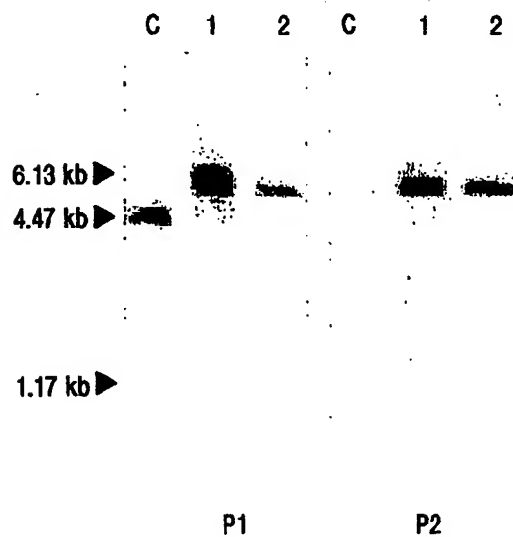
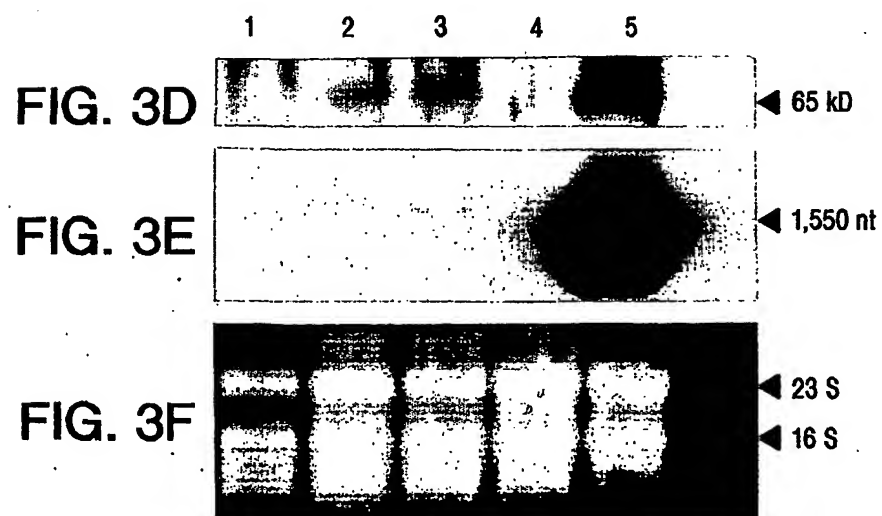
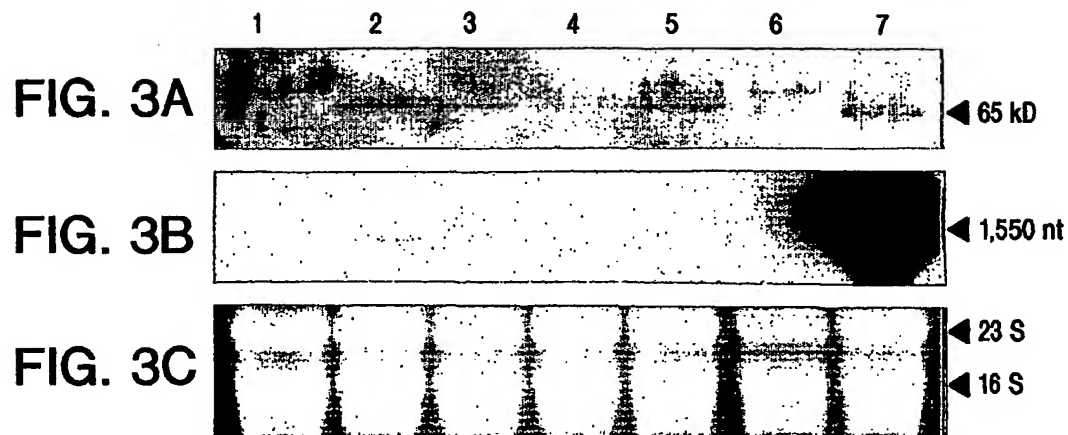


FIG. 2B

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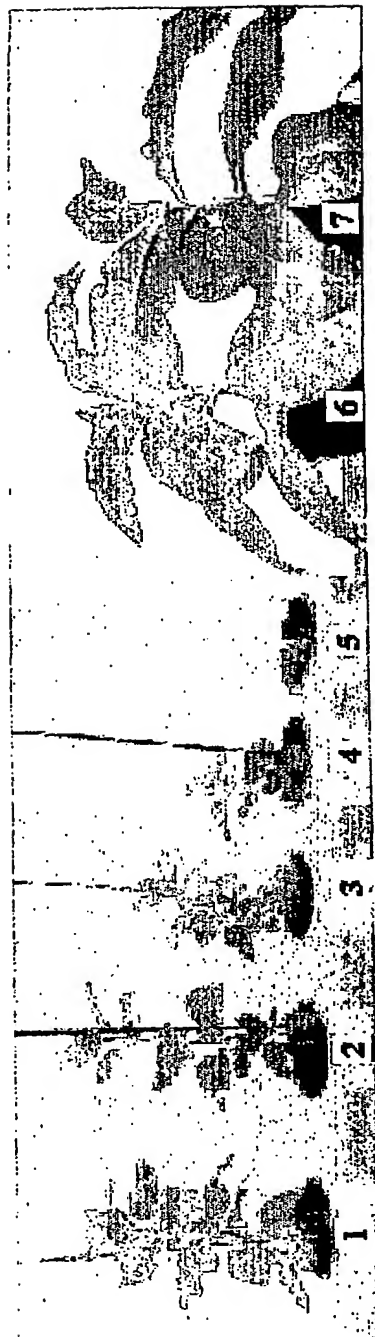


FIG. 4

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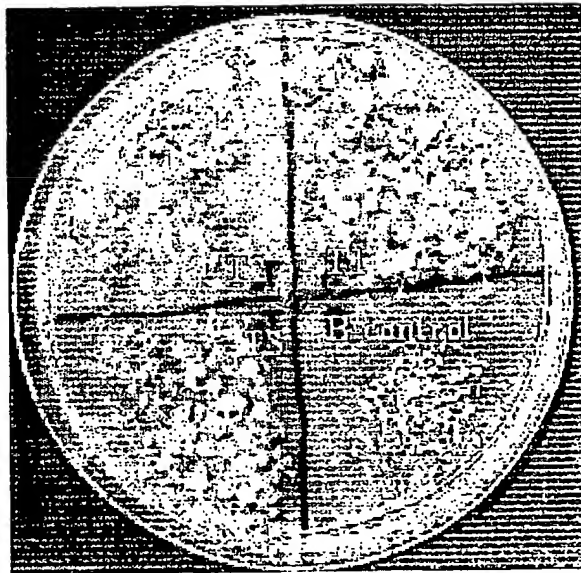


FIG. 5

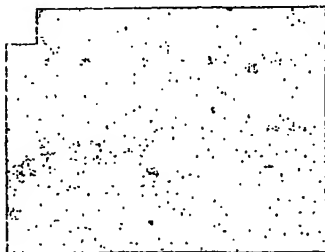


FIG. 6A

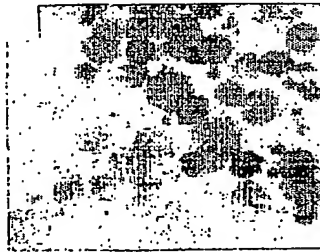


FIG. 6B

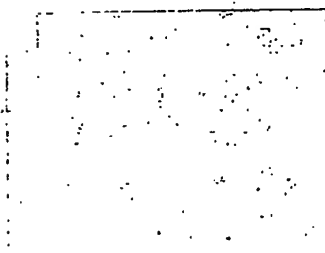


FIG. 6C



FIG. 6D

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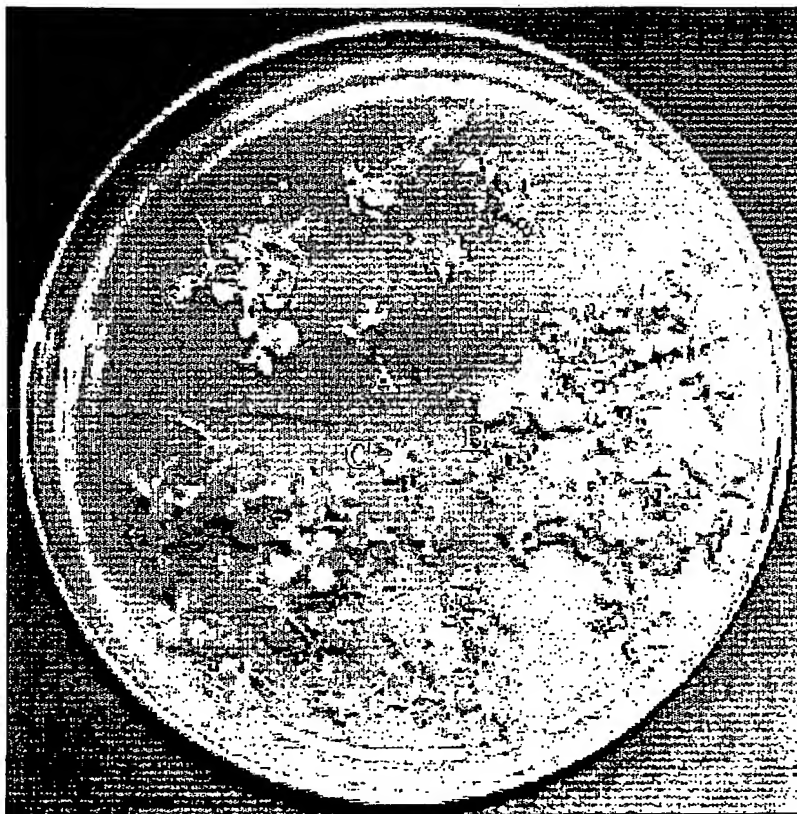


FIG. 7

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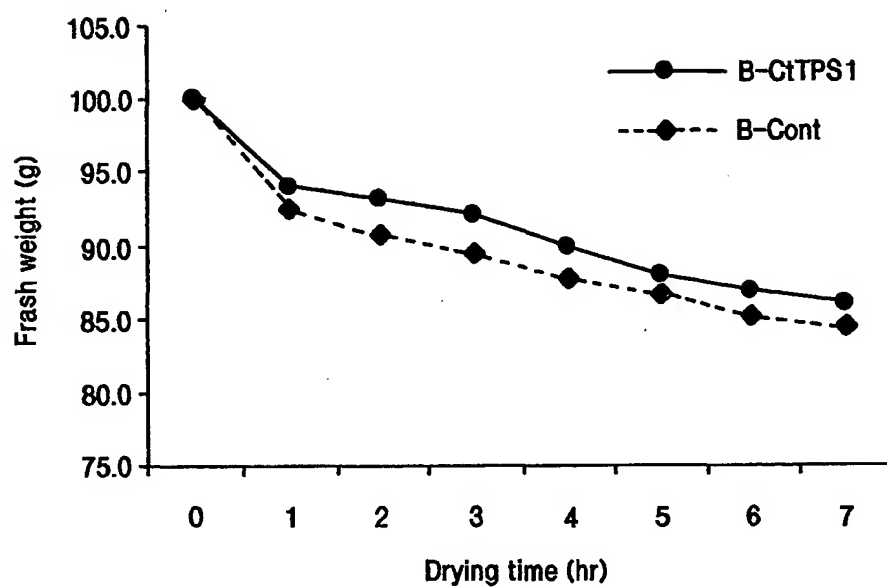


FIG. 8

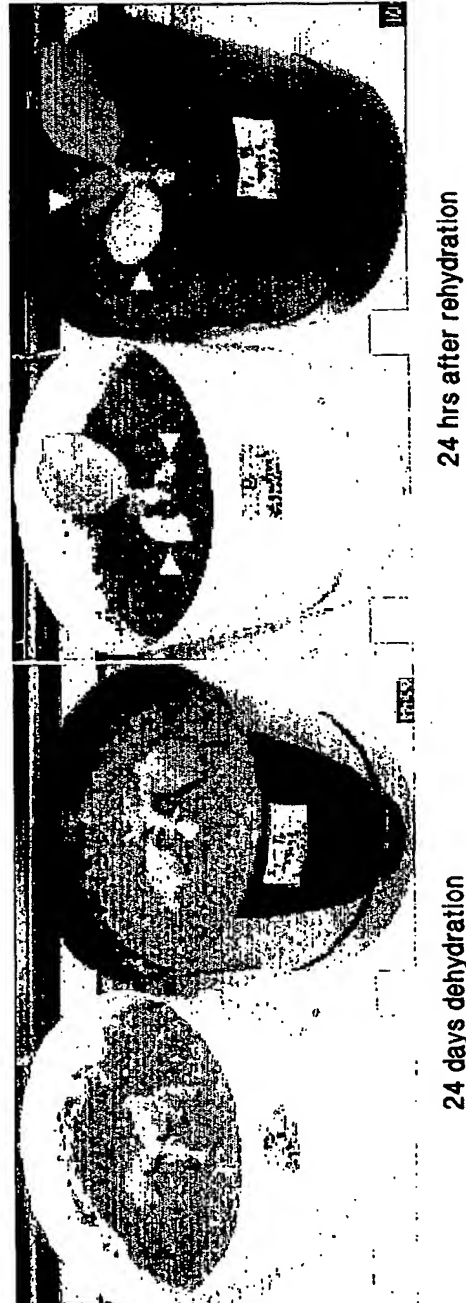


FIG. 9

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US01/06271

A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) : C12N 5/10, 15/82, 5/04; A01H 4/00

US CL : 800/278, 284, 288, 289; 435/320.1, 468, 410, 419, 430, 431

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 800/278, 284, 288, 289; 435/320.1, 468, 410, 419, 430, 431

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

Please See Extra Sheet.

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 99/46370 (NOVARTIS AG) 16 September, 1999, pages 60-72, page 32, lines 21-23.	1-4, 32-34, 40
Y		35-37
Y	US 5,693,507 A (DANIELL et al) 02 December 1997, col. 13, lines 14-40.	1-4, 32-37, 40
Y	US 5,792,921 A (LONDESBOROUGH et al) 11 August, 1998, col. 47, line 55, to col. 52, line 22.	1-4, 32-27, 40
Y	US 5,563,324 A (TARCZYNSKI et al) 08 October 1996, col. 9, lines 7-22, col. 10, line 49, to col. 11, line 3, col. 15, line 39, to col. 16, line 20, claims 1-13.	1-4, 32-34, 40

☒ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A" document defining the general state of the art which is not considered to be of particular relevance	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"B" earlier document published on or after the international filing date	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"A" document member of the same patent family
"O" document referring to an oral disclosure, use, exhibition or other means	
"P" document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

16 APRIL 2001

Date of mailing of the international search report

30 APR 2001

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INTERNATIONAL SEARCH REPORT

International application No.
PCT/US01/06271

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 5,780,709 A (ADAMS et al) 14 July 1998, col. 36, line 64 to col. 37, line 4; col. 51, lines 4-28; col. 52, line 51 to col. 56, line 20; claims 1-24.	1-4, 32-34, 40

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US01/06271

Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This international report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☐ Claims Nos.:
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3. ☒ Claims Nos.: 5-31 and 38-39
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
☐ No protest accompanied the payment of additional search fees.

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US01/06271

B. FIELDS SEARCHED

Electronic data bases consulted (Name of data base and where practicable terms used):

AGRICOLA, BIOSIS, CAPLUS, USPAT, EPO, JPO, DERWENT

Search terms: Trehalose, (plastid or chloroplast), (((water or drought or stress) and (resistan? or toleran?)) or osmotoleran? or osmoresistan?)

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